



An Isabelle/HOL formalization of narrowing and its applications to E -unifiability, reachability and infeasibility

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ARI Final Meeting, Nagoya, Japan (Feb. 20-23, 2024)

Narrowing

Overview:

- Narrowing generalizes rewriting in the sense that matching is replaced by unification.
- Symbolically represents a rewriting relation between terms as a narrowing relation between more general terms.

Definition

A term t is *narrowable* into a term t' if there exist a non-variable position p in t , a variant $\ell \rightarrow r$ of a rewrite rule in \mathcal{R} , and a substitution σ such that

- σ is a most general unifier of $t|_p$ and ℓ ,
- $t' = t[r]_p\sigma$.
- We write $t \rightsquigarrow_{[p, \ell \rightarrow r, \sigma]} t'$ or simply $t \rightsquigarrow_{\sigma, \mathcal{R}} t'$.
- Also, we write $t \rightsquigarrow_{\sigma, \mathcal{R}}^* t'$ if there exists a narrowing derivation $t = t_1 \rightsquigarrow_{\sigma_1, \mathcal{R}} t_2 \rightsquigarrow_{\sigma_2, \mathcal{R}} \dots \rightsquigarrow_{\sigma_{n-1}, \mathcal{R}} t_n = t'$ such that $\sigma = \sigma_{n-1} \circ \dots \circ \sigma_2 \circ \sigma_1$. If $n = 1$, then $\sigma = \varepsilon$.

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Narrowing

Example

- Given a rewrite system $\mathcal{R} = \{f(a, b) \rightarrow d\}$, can we rewrite term $f(x, y)$?
- Can we narrow $f(x, y)$?

Lifting Lemma (Hullot 1980, MH 1994)

Let \mathcal{R} be a TRS. Suppose we have terms s and t , a normalized substitution θ and a set of variables V such that $\mathcal{V}(s) \cup \mathcal{D}\theta \subseteq V$ and $t = s\theta$. If $t \rightsquigarrow_{\mathcal{R}}^* t'$, then there exist a term s' and substitutions θ', σ such that

- $s \rightsquigarrow_{\sigma, \mathcal{R}}^* s'$,
- $s'\theta' = t'$,
- $\theta' \circ \sigma = \theta[V]$,
- θ' is normalized.

E-unifiability, reachability, and infeasibility

E-unifiability

- Equational unification (or E -unification) is concerned with making terms equivalent w.r.t. an equational theory E .
- Two terms s and t are E -unifiable if there exists a substitution σ such that $s\sigma \approx_E t\sigma$.
- For example, consider $E = \{f(x, 0) \approx x\}$. Then, two terms $f(y, z)$ and 0 are not syntactically unifiable, but they are E -unifiable using the substitution $\theta := \{y \mapsto 0, z \mapsto 0\}$ because $f(y, z)\theta = f(0, 0) \approx_E 0$.
- Given a set of equations E and two terms s and t , it is generally undecidable whether there exists a substitution σ such that $s\sigma \approx_E t\sigma$ holds or not. It is a natural question to ask when this E -unifiability problem is decidable.

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E-unifiability, reachability, and infeasibility

Reachability and infeasibility

- One of the fundamental problems in term rewriting systems.
- (Original form) Given a TRS \mathcal{R} and a source term s , does s reach to t by a rewriting sequence, written $s \rightarrow_{\mathcal{R}}^* t$?
- (Generalization) This problem has the following generalization for s and t containing variables: Given a TRS \mathcal{R} and two terms s and t , the reachability problem is stated as follows: is there a substitution σ such that $s\sigma \rightarrow_{\mathcal{R}}^* t\sigma$?
- We say that the above reachability problem is *satisfiable* if there is such a substitution σ .
- If no such a substitution exists, then this problem is said to be *infeasible*.

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Equational Terms (or goals)

Equational Terms

- Add a fresh binary function symbol $\approx^?$ and a fresh constant \top to the set of function symbols and assume that \mathcal{R} contains the rewrite rule $x \approx^? x \rightarrow \top$.
- *Equational terms* are the terms of the following form $s \approx^? t$, where s and t do not contain any occurrences of $\approx^?$ and \top .
- We may use the lifting lemma for equational terms because equational terms are simply some specific types of terms.

Lemma (Hullot 1980, MH 1994)

$s \approx^? t \rightsquigarrow_{\sigma, \mathcal{R}}^* \top$ implies $s\sigma \approx^? t\sigma \rightarrow_{\mathcal{R}}^* \top$.

Lemma (Hullot 1980, MH 1994)

Given a TRS \mathcal{R} , if $s \approx^? t \rightsquigarrow_{\sigma, \mathcal{R}}^* \top$, then σ is an \mathcal{R} -unifier of s and t .

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Narrowing for E-unifiability

Lemma

- Given a TRS \mathcal{R} , if there is no narrowing derivation $s \approx^? t \rightsquigarrow_{\sigma, \mathcal{R}}^* \top$ for any substitution σ , then there is no normal substitution θ satisfying $s\theta \approx^? t\theta \rightarrow_{\mathcal{R}}^* \top$.

Lemma

- Given a semi-complete TRS \mathcal{R} and assume that all narrowing derivations starting from $s \approx^? t$ terminates. If there is no narrowing derivation $s \approx^? t \rightsquigarrow_{\sigma, \mathcal{R}}^* \top$ for any substitution σ , then s and t have no \mathcal{R} -unifier.
- Proof idea: Assume that there is no narrowing derivation $s \approx^? t \rightsquigarrow_{\sigma, \mathcal{R}}^* \top$ for any substitution σ . Then, by the above lemma, there is no normal substitution θ satisfying $s\theta \approx^? t\theta \rightarrow_{\mathcal{R}}^* \top$. Now, suppose, towards a contradiction, that s and t have an \mathcal{R} -unifier. Then, there is some substitution τ such that $s\tau \xrightarrow{*}_{\mathcal{R}} t\tau$. Since \mathcal{R} is semi-complete, there is a normal substitution τ' of τ such that $s\tau' \xrightarrow{*}_{\mathcal{R}} t\tau'$. Now, we may infer that $s\tau' \approx^? t\tau' \rightarrow_{\mathcal{R}}^* \top$, which is the required contradiction.

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Narrowing for E-unifiability

Theorem

- Given a semi-complete TRS \mathcal{R} , if all narrowing derivations starting from $s \approx^? t$ terminates, then we can decide whether $s \approx^? t$ has an \mathcal{R} -unifier or not.

Example

- Let $E = \{f(x, 0) \approx g(x), g(b) \approx c\}$ and the unification problem $f(x, y) \approx_E^? c$. A rewrite system for E is $\mathcal{R} = \{f(x, 0) \rightarrow g(x), g(b) \rightarrow c, x \approx^? x \rightarrow \top\}$, where the rule $x \approx^? x \rightarrow \top$ is added. We rename the rules in \mathcal{R} whenever necessary.
- First, find the mgu of $f(x, y)$ and $f(x_1, 0)$ in $f(x_1, 0) \rightarrow g(x_1)$, which yields $\sigma_1 = \{x \mapsto x_1, y \mapsto 0\}$. Then, we have $(f(x, y) \approx^? c) \rightsquigarrow_{\sigma_1} (g(x_1) \approx^? c)$.
- Find the mgu of $g(x_1)$ and $g(b)$, yielding $\sigma_2 = \{x_1 \mapsto b\}$. Then, the narrowing step $(g(x_1) \approx^? c) \rightsquigarrow_{\sigma_2} (c \approx^? c)$ is applied. Next, $c \approx^? c \rightsquigarrow_{\sigma_3} \top$ using $x_2 \approx^? x_2 \rightarrow \top$, where $\sigma_3 = \{x_2 \mapsto c\}$. This reaches to \top , so the above E -unification problem is solvable by an \mathcal{R} -unifier $\sigma_3 \circ \sigma_2 \circ \sigma_1 = \{x \mapsto b, y \mapsto 0, x_1 \mapsto b, x_2 \mapsto c\}$.

Multiset Narrowing

Multiset Reachability Analysis (more general)

- Given a multiset of terms $M = \{t_1, \dots, t_n\}$, is there a substitution σ such that $M\sigma := \{t_1\sigma, \dots, t_n\sigma\}$ reaches to the target multiset of terms $M' = \{t'_1, \dots, t'_n\}$ using a term rewriting system \mathcal{R} ?

Reachability Analysis by Multisets

- Given a rewrite system \mathcal{R} and pairs of terms $(s_1, t_1), \dots, (s_n, t_n)$, is there a substitution σ exists such that $s_1\sigma \rightarrow_{\mathcal{R}}^* t_1\sigma \wedge \dots \wedge s_n\sigma \rightarrow_{\mathcal{R}}^* t_n\sigma$. Here, the reachability problem is represented by the multiset $\{(s_k, t_k) \mid 1 \leq k \leq n\}$.

Multiset Narrowing

Multiset Narrowing

- Identical elements in a multiset can reach to different elements (or states).
- A multiset of terms may reach another multiset of terms using term rewriting.
- Adapts from the existing narrowing methods (in particular, MH1994) for multiset setting. Multiset narrowing works on multisets of (ordinary) terms, multisets of equational terms, and multisets of pairs of terms.
- It can also be used for multiple goals in the (traditional) reachability and E -unification problems.
- Multiset narrowing is based on multiset rewriting.

Multiset Reachability Analysis

- Given a multiset of terms $M = \{t_1, \dots, t_n\}$, does it reach to the target multiset of terms $M' = \{t'_1, \dots, t'_n\}$ using a term rewriting system \mathcal{R} ?

Multiset Narrowing

Multiset rewriting on multisets of (equational) terms

Let S and T be multisets of (equational) terms. We write $S \rightarrow_{[\mathcal{R}, M_1]} T$ if there exists an (equational) term $s \in S$ such that $s \rightarrow_{\mathcal{R}} t$ and $T = (S - \{s\}) \cup \{t\}$.

Multiset narrowing on multisets of (equational) terms

- A multiset of (equational) terms S is *narrowable* into a multiset of (equational) terms T if there exist an (equational) term $s \in S$ and a substitution σ such that
 - $s \rightsquigarrow_{\sigma, \mathcal{R}} t$,
 - $T = ((S - \{s\})\sigma) \cup \{t\}$.

Then, we write $S \rightsquigarrow_{\sigma, \mathcal{R}, M_1} T$. Also, we write $S \rightsquigarrow_{\sigma, \mathcal{R}, M_1}^* S'$ if there exists a narrowing derivation $S = S_1 \rightsquigarrow_{\sigma_1, \mathcal{R}, M_1} S_2 \rightsquigarrow_{\sigma_2, \mathcal{R}, M_1} \dots \rightsquigarrow_{\sigma_{n-1}, \mathcal{R}, M_1} S_n = S'$ such that $\sigma = \sigma_{n-1} \circ \dots \circ \sigma_2 \circ \sigma_1$. If $n = 1$, then $\sigma = \varepsilon$.

Multiset Narrowing

Lifting Lemma for Multiset Narrowing

Let \mathcal{R} be a TRS. Suppose we have two multisets of (equational) terms S and T , a normalized substitution θ and a set of variables V such that $\mathcal{V}(S) \cup \mathcal{D}\theta \subseteq V$ and $T = S\theta$. If $T \rightarrow_{[\mathcal{R}, M_1]}^* T'$, then there exist a multiset of (equational) terms S' and substitutions θ' , σ such that

- $S \rightsquigarrow_{\sigma, \mathcal{R}, M_1}^* S'$,
- $S'\theta' = T'$,
- $\theta' \circ \sigma = \theta[V]$,
- θ' is normalized.

Remarks

Looks very similar to the lifting lemma for ordinary terms. This lifting lemma holds for multisets of both ordinary and equational terms.

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Multiset Narrowing

Soundness of Multiset Narrowing w.r.t. Multiset Reachability

- If there exists a multiset narrowing derivation from S to S' with narrowing substitution σ and there is a matching substitution θ such that $S'\theta = G$, then a multiset S is reachable to the target G using substitution $\theta \circ \sigma$.
- Starting with the source multiset S , we may use a multiset narrowing tree to find such S' that can be matchable to the target G .

Weak Completeness of Multiset Narrowing w.r.t. Multiset Reachability

- If there is no multiset narrowing derivation from S to S' that can be matchable to G , then there is no *normal* substitution σ , which allows $S\sigma$ to reach G .
- Inherited from the weak completeness of reachability analysis using narrowing
- For strong completeness, some constraints might be needed.

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An Example of Multiset Narrowing for Multiset Reachability

Example

- Consider the source $S = \{f(x, y), f(x, y)\}$ and target $G = \{c, d\}$ with (renamed) rewrite system $\mathcal{R} = \{f(a, b) \rightarrow d, f(a, z_1) \rightarrow g(z_1), f(z_2, a) \rightarrow d, g(a) \rightarrow c\}$.
- If we simply use the rule $f(a, b) \rightarrow d$, then $S\sigma$ is not reachable to G .
- Multiset narrowing starts with $S = \{f(x, y), f(x, y)\}$ and narrows into $S_1 = \{g(z_1), f(a, z_1)\}$ using the rule $f(a, z_1) \rightarrow g(z_1)$ with substitution $\sigma_1 = \{x \mapsto a, y \mapsto z_1\}$. Then, it narrows into $S_2 = \{c, f(a, a)\}$ using the rule $g(a) \rightarrow c$ with substitution $\sigma_2 = \{z_1 \mapsto a\}$. Finally, it narrows into $S_3 = \{c, d\}$ using the rule $f(z_2, a) \rightarrow d$, with substitution $\sigma_3 = \{z_2 \mapsto a\}$, which allows $S\sigma$ to reach G using substitution $\sigma = \sigma_3 \circ \sigma_2 \circ \sigma_1 = \{x \mapsto a, y \mapsto a, z_1 \mapsto a, z_2 \mapsto a\}$.

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Multiset Narrowing

Weak Completeness Example

- Given $\mathcal{R} = \{a \rightarrow b, a \rightarrow c, g(f(b), f(c)) \rightarrow a\}$, consider the reachability problem from $g(f(x), f(x))$ to a . (For multiset reachability, consider the source multiset $\{g(f(x), f(x))\}$ to the target multiset $\{a\}$.) The problem is satisfiable using substitution $\{x \mapsto a\}$ (i.e., $g(f(a), f(a)) \rightarrow_{\mathcal{R}} g(f(b), f(a)) \rightarrow_{\mathcal{R}} g(f(b), f(c)) \rightarrow_{\mathcal{R}} a$), but we may not apply a narrowing (or multiset narrowing) step from $g(f(x), f(x))$ nor it is matchable with a .

Multiset Narrowing using Equational Terms [Strong completeness using strongly irreducibility condition]

Let \mathcal{R} be a semi-complete TRS and $S = \{s_1 \approx^? t_1, \dots, s_n \approx^? t_n\}$ be a multiset of equational terms, where each t_k , $1 \leq k \leq n$, is a strongly irreducible term. If all multiset narrowing derivations starting from S terminate, then we can decide whether the (usual) reachability problem represented by S is satisfiable or not (i.e., infeasible).

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Multiset Narrowing for (usual) Reachability Analysis (Type 2)

Multiset Rewriting (Adapted from MT 2007)

- Considering multisets of *pairs* of terms instead of considering multisets of terms
- Let S and T be multisets of the pairs of terms. We write $S \rightarrow_{[\mathcal{R}, M_2]} T$ if there is a pair of terms $(s, t) \in S$ such that $s \rightarrow_{\mathcal{R}} u$ and $T = (S - \{(s, t)\}) \cup \{(u, t)\}$.

Multiset Narrowing (Adapted from MT 2007)

A multiset of pairs of terms S is *narrowable* into a multiset of pairs of terms T if there exists a pair of terms (s, t) in S and a substitution σ such that

- $s \rightsquigarrow_{\sigma, \mathcal{R}} u$, and
- $T = (S - \{(s, t)\})\sigma \cup \{(u, t\sigma)\}$.

Then, we write $S \rightsquigarrow_{\sigma, \mathcal{R}, M_2} T$. Also, we write $S \rightsquigarrow_{\sigma, \mathcal{R}, M_2}^* S'$ if there exists a narrowing derivation $S = S_1 \rightsquigarrow_{\sigma_1, \mathcal{R}, M_2} S_2 \rightsquigarrow_{\sigma_2, \mathcal{R}, M_2} \dots \rightsquigarrow_{\sigma_{n-1}, \mathcal{R}, M_2} S_n = S'$ such that $\sigma = \sigma_{n-1} \circ \dots \circ \sigma_2 \circ \sigma_1$. If $n = 1$, then $\sigma = \varepsilon$.

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Intuition of $\rightarrow_{[\mathcal{R}, M_2]}$ and $\rightsquigarrow_{\sigma, \mathcal{R}, M_2}$

- $S \rightarrow_{[\mathcal{R}, M_2]} T$ if T is obtained by replacing one pair of elements (s, t) in S with (u, t) using $s \rightarrow_{\mathcal{R}} u$. Only the first element in a pair can be rewritten by \mathcal{R} , while the second element serves as a target and is intact for $\rightarrow_{[\mathcal{R}, M_2]}$ -steps.
- $S \rightsquigarrow_{\sigma, \mathcal{R}, M_2} T$ if T is obtained by replacing one pair of elements (s, t) in S with $(u, t\sigma)$ from $s \rightsquigarrow_{\sigma, \mathcal{R}} u$ and then applying the narrowing substitution to the remaining multiset $S - \{(s, t)\}$.

Definitions

- A multiset of pair of terms $\{(s_k, t_k) \mid 1 \leq k \leq n\}$ is *syntactically unifiable* with a substitution θ if $s_k\theta = t_k\theta$ for all $1 \leq k \leq n$. In particular, it is *trivially unifiable* if $s_k = t_k$ for all $1 \leq k \leq n$.
- A substitution τ is a *solution* of the reachability problem represented by a multiset $S = \{(s_1, t_1), \dots, (s_n, t_n)\}$ if $s_1\tau \rightarrow_{\mathcal{R}}^* t_1\tau \wedge \dots \wedge s_n\tau \rightarrow_{\mathcal{R}}^* t_n\tau$.

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Multiset Narrowing

Proposition

Let \mathcal{R} be a TRS and $S = \{(s_1, t_1), \dots, (s_n, t_n)\}$ be a multiset of pair of terms. If $S \rightsquigarrow_{\sigma, \mathcal{R}, M_2}^* S'$ and S' is syntactically unifiable with θ , then $\theta \circ \sigma$ is a solution of the reachability problem represented by $S = \{(s_1, t_1), \dots, (s_n, t_n)\}$.

Remarks and comparison

- Multiset narrowing for multisets of (ordinary) terms: suitable for multiset reachability analysis
- Multiset narrowing for multisets of equational terms: suitable for E -unifiability. For reachability analysis, it may obtain the strong completeness at the price of the strongly irreducibility condition of the right-hand sides, etc.
- Multiset narrowing for multisets of pairs of equational terms: suitable for reachability analysis. However, it does not alone provide the strong completeness of the reachability problem consisting of multiple goals.

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Formalization in Isabelle/HOL

Formalization of narrowing

Formalization of narrowing is done using `inductive_set` in Isabelle. Here, s narrows into t iff $(s, t, \delta) \in \text{narrowing_step}$. (Here, \mathcal{R} is added as a parameter of `narrowing_step` by locale in `isabelle`.)

`inductive_set narrowing_step where`

`"(t = (replace_at s p (snd rl)) \cdot \delta \wedge \omega \bullet rl \in \mathcal{R} \wedge (vars_term s \cap vars_rule rl = \{\}) \wedge p \in fun_poss s \wedge mgu (s|_p) (fst rl) = Some \delta) \Rightarrow (s, t, \delta) \in narrowing_step"`

Remarks

Above, the renaming ω is applied to the rule rl , expressed by $\omega \bullet rl$, so that no variable shares between s and rl . This corresponds to a variant of a rewrite rule $l \rightarrow r$ in the Narrowing definition, where $l \rightarrow r$ is denoted here by rl . For renaming, we use the earlier formalization of *permutation for renaming* in `IsaFoR`.

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Formalization in Isabelle/HOL

Formalization of narrowing derivation

The following formalizes whether a narrowing derivation $s \rightsquigarrow_{\sigma}^* t$ holds or not, which cannot simply use the reflexive and transitive closure of the relation derived from `narrowing_step` because σ should be combined for the narrowing steps from s and t .

definition `narrowing_derivation` where

```
"narrowing_derivation s s'  $\sigma \longleftrightarrow (\exists n. (\exists f \tau. f \ 0 = s \wedge f \ n = s' \wedge (\forall i < n. ((f \ i), (f \ (Suc \ i)), (\tau \ i)) \in \text{narrowing\_step}) \wedge (\text{if } n = 0 \text{ then } \sigma = \text{Var} \text{ else } \sigma = \text{compose } (\text{map } (\lambda i. (\tau \ i))[0.. < n])))"$ 
```

Remarks

Above, $s \rightsquigarrow_{\sigma}^* t$ is true if there are functions f and τ forming the chains of narrowing steps and their corresponding narrowing substitutions, where the end points of the chain formed by f are s and s' , respectively, and σ is the composition of all substitutions of the chain formed by the function τ . (Here, if the length of the chain is 0, then σ is ε .)²⁰

Formalization in Isabelle/HOL

Locale for Equational Narrowing

We use the Isabelle's locale to specify the constraints for these new symbols in `Equational_Narrowing.thy`.

locale `equational_narrowing` = `narrowing` \mathcal{R} for $\mathcal{R} :: "(f, v :: infinite) \text{trs}"$ +

fixes $\mathcal{R}' :: "(f, v :: infinite) \text{trs}"$

and $\mathcal{R} :: "(f, v :: infinite) \text{trs}"$

and $\mathcal{F} :: "f \text{sig}"$

and $\mathcal{D} :: "f \text{sig}"$

assumes `"wf_trs \mathcal{R} "`

and `" $\mathcal{R} = \mathcal{R}' \cup \{(Fun \ \dot{=} \ [Var \ x, Var \ x], Fun \ \top \ [])\}"$`

and `"funas_trs $\mathcal{R}' \subseteq \mathcal{F}$ "`

and `" $\mathcal{D} = \{(\dot{=} \ 2), (\top, 0)\}"$`

and `" $\mathcal{D} \cap \mathcal{F} = \{\}$ "`

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Formalization in Isabelle/HOL

Formalization of Equational Terms

The following two function symbols are introduced.

consts `DOTEQ` :: `"f" ("=")`

consts `TOP` :: `"f" ("⊤")`

The binary function symbol $\dot{=}$ corresponds to $\approx^?$. In the following, a term t is a `wf_equational_term` if t is either the constant \top (i.e., `Fun \top []`) or it is an equational term of the form $u \approx^? v$, where the binary symbol $\dot{=}$ and the constant \top do not occur in any of u and v .

definition `wf_equational_term` where

```
"wf_equational_term t  $\longleftrightarrow ((t = \text{Fun } \top \ []) \vee (\exists u v. t = \text{Fun } \dot{=} \ [u :: (f, v) \text{ term}, v :: (f, v) \text{ term}] \wedge (\dot{=} \ 2) \notin \text{funas\_term } u \wedge (\dot{=} \ 2) \notin \text{funas\_term } v) \wedge (\top, 0) \notin \text{funas\_term } u \wedge (\top, 0) \notin \text{funas\_term } v)"$ 
```

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Formalization in Isabelle/HOL

Formalization of Lifting Lemma in Equational Narrowing

lemma `lifting_lemma`:

fixes $\mathcal{V} :: "(v :: infinite) \text{set}"$ and $\mathcal{S} :: "(f, v) \text{term}"$ and $\mathcal{T} :: "(f, v) \text{term}"$

assumes `"normal_subst $\mathcal{R} \ \theta"$`

and `"wf_equational_term \mathcal{S} "`

and `" $\mathcal{T} = \mathcal{S} \cdot \theta"$`

and `"vars_term $\mathcal{S} \cup \text{subst_domain } \theta \subseteq \mathcal{V}"$`

and `" $(\mathcal{T}, \mathcal{T}') \in \text{rstep } \mathcal{R}^*$ "`

and `"finite \mathcal{V} "`

shows `" $\exists \sigma \theta' S'. \text{narrowing_derivation } S \ S' \ \sigma \wedge \mathcal{T}' = S' \cdot \theta' \wedge \text{wf_equational_term } S' \wedge \text{normal_subst } \mathcal{R} \ \theta' \wedge (\sigma \circ_s \theta') \upharpoonright_{\mathcal{S}} \mathcal{V} = \theta \upharpoonright_{\mathcal{S}} \mathcal{V}"$`

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Formalization in Isabelle/HOL

Formalization of Multiset Rewriting $\rightarrow_{[\mathcal{R}, M_1]}$

- $S \rightarrow_{[\mathcal{R}, M_1]} T$ iff $(S, T) \in \text{multiset_reduction_step}$
inductive_set multiset_reduction_step where
 $"s \in \# S \wedge T = (S - \{\#s\} + \{\#t\}) \wedge (s, t) \in \text{rstep } \mathcal{R} \Rightarrow (S, T) \in \text{multiset_reduction_step}"$

Formalization of Multiset Narrowing $\rightsquigarrow_{\sigma, \mathcal{R}, M_1}$

- $S \rightsquigarrow_{\sigma, \mathcal{R}, M_1} T$ iff $(S, T, \sigma) \in \text{multiset_narrowing_step}$.
- **inductive_set multiset_narrowing_step** where
 $"(s, t) \in \# S \wedge T = (\text{subst_term_multiset } \sigma (S - \{\#s\}) + \{\#t\}) \wedge (s, t, \sigma) \in \text{narrowing_step} \Rightarrow (S, T, \sigma) \in \text{multiset_narrowing_step}"$

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Formalization in Isabelle/HOL

Formalization of Multiset Rewriting $\rightarrow_{[\mathcal{R}, M_2]}$

- $S \rightarrow_{[\mathcal{R}, M_2]} T$ iff $(S, T) \in \text{multiset_pair_reduction_step}$. (Here, \mathcal{R} is implicitly included as a parameter of `multiset_pair_reduction_step` in the locale.)
- **inductive_set multiset_pair_reduction_step** where
 $"(s, t) \in \# S \wedge T = (S - \{\#(s, t)\} + \{\#(u, t)\}) \wedge (s, u) \in \text{rstep } \mathcal{R} \Rightarrow (S, T) \in \text{multiset_pair_reduction_step}"$

Formalization of Multiset Narrowing $\rightsquigarrow_{\sigma, \mathcal{R}, M_2}$

- $S \rightsquigarrow_{\sigma, \mathcal{R}, M_2} T$ iff $(S, T, \sigma) \in \text{multiset_pair_narrowing_step}$.
- **inductive_set multiset_pair_narrowing_step** where
 $"(s, t) \in \# S \wedge T = (\text{subst_pairs_multiset } \sigma (S - \{\#(s, t)\}) + \{\#(u, t \cdot \sigma)\}) \wedge (s, u, \sigma) \in \text{narrowing_step} \Rightarrow (S, T, \sigma) \in \text{multiset_pair_narrowing_step}"$

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Formalization of the completeness of E -unifiability

theorem narrowing_based_E_unifiability:
assumes $"\text{semi_complete } (\text{rstep } \mathcal{R})"$
and $\text{funas_rule } (s, t) \subseteq F$
shows $"\text{narrowing_derivation_reaches_to_success } (s, t) \Rightarrow E_unifiable (s, t)"$
 $"\text{narrowing_derivation_not_reaches_to_success } (s, t) \Rightarrow \text{not_E_unifiable } (s, t)"$

Weak completeness of multiset narrowing w.r.t. multiset reachability

The following Isabelle theorem states the weak completeness of multiset narrowing w.r.t. multiset reachability.

theorem multiset_narrowing_based_reachability_weak_completeness:
 $"\text{multiset_narrowing_reachable_from_to } S G \longrightarrow$
 $(\exists \theta. (\text{subst_term_multiset } \theta S, G) \in (\text{multiset_reduction_step})^*)"$
 $"\text{multiset_narrowing_not_reachable_from_to } S G \longrightarrow$
 $\neg(\exists \theta. \text{normal_subst } \mathcal{R} \theta \wedge (\text{subst_term_multiset } \theta S, G) \in (\text{multiset_reduction_step})^*)"$

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Formalization of strong completeness of reachability analysis

theorem multiset_narrowing_based_reachability:
assumes $"\text{semi_complete } (\text{rstep } \mathcal{R})"$
and $\text{funas_trs } (\text{set } C) \subseteq \mathcal{F}$
and $\forall (u, v) \in \text{set } C. \text{strongly_irreducible_term } \mathcal{R} v$
shows $"\text{multiset_narrowing_derivation_reaches_to_success } C \implies \text{reachability } C"$
 $"\text{multiset_narrowing_derivations_not_reaches_to_success } C \implies \text{infeasibility } C"$

Remarks

- The strongly irreducibility condition of C is imposed as an assumption:
 $\forall (u, v) \in \text{set } C. \text{strongly_irreducible_term } \mathcal{R} v$.
- The reachability problem represented by a list C (consisting of pairs of terms representing the reachability goals) is first converted into a multiset consisting of equational terms in both the above `multiset_narrowing_derivation_...`

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Thank you!

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ARI Final Meeting, Nagoya, Japan (Feb. 20-23, 2024)

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